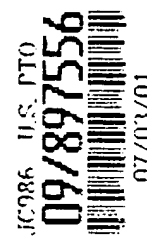


Serial No:

Docket No.: RD-27,987

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: BROCK E. OSBORN, ET AL

Group Art Unit:

Serial No.:

Examiner:

thf
C. Burra
12-17-01

Filed:

For: **INTERACTIVE GRAPHICS-BASED ANALYSIS TOOL FOR
VISUALIZING RELIABILITY OF A SYSTEM AND
PERFORMING RELIABILITY ANALYSIS THEREON**

INFORMATION DISCLOSURE STATEMENT

Honorable Assistant Commissioner of Patents
Washington, DC 20231

SIR:

In accordance with 37 C.F. R. §§1.56, 1.97 and 1.98, Applicant cites the following commonly owned patent application for the above-identified patent application:

<u>SERIAL NO.</u>	<u>FILING DATE</u>	<u>TITLE</u>	<u>INVENTOR(S)</u>	<u>ATTORNEY DOCKET NO.</u>
09/681,652	5/16/01	SYSTEM, METHOD AND COMPUTER PRODUCT FOR PERFORMING AUTOMATED PREDICTIVE RELIABILITY	CM GRAICHEN, ET AL	RD-27,989

Respectfully submitted,

David Goldman

DAVID C. GOLDMAN
PATENT COUNSEL
REGISTRATION NO: 34,336
TELEPHONE: (518) 387-5927

DATE: 6/21/01

General Electric Company
CRD Patent Docket Room 4A59
PO B x 8, Bldg. K-1 Salamone
Schenectady, New York 12301
CUSTOMER NUMBER: 006147

ORIGINAL/CIP PATENT APPLICATION TRANSMITTAL LETTER

ATTORNEY'S DOCKET NO.
RD-27,987

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith for filing is the ☒ ORIGINAL ☐ CONTINUATION-IN-PART patent application of:BROCK E. OSBORN, RADU E. NEAGU, ALISSA B. KRUPAR, JOHN E. HERSHEY, KATI (NMN) ILLOUZ,
CARL H. HANSEN, MELVIN C. EDGAR, JR., JAMES E. DOCKENDORFF AND DONALD L. GARDNER

Inventor(s)

For INTERACTIVE GRAPHICS-BASED ANALYSIS TOOL FOR VISUALIZING RELIABILITY OF A SYSTEM
AND PERFORMING RELIABILITY ANALYSIS THEREON

(Title of Invention)

☐ This is a Continuation-In-Part of Serial No. _____, filed _____, Attorney Docket No. _____

ENCLOSED ARE:

- ☒ Specification having 47 total pages.
- ☒ 33 sheets of ☐ formal ☒ informal drawings.
- ☒ Declaration. ☐ Unsigned Declaration.
- ☒ Information Disclosure Statement.
- ☒ Other IDS CITE PATENT APPLICATION
- ☒ An Assignment of the invention to General Electric Company with cover sheet.

The filing fee is calculated below:

	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$710.00
TOTAL CLAIMS	57 - 20 =	37	x \$18.00	\$666.00
INDEPENDENT CLAIMS	13 - 3 =	10	x \$80.00	\$800.00
ADDITIONAL FEE FOR USE OF MULTIPLE DEPENDENT CLAIM(S) (once per application)			x \$260.00	
TOTAL FILING FEE				\$2,176.00

- ☒ Please charge \$2,176.00 to my Deposit Account No. 07-0868.
- ☒ The Assistant Commissioner is hereby authorized to charge payment of all fees required under 37 CFR 1.16 or 1.17 or credit any overpayment to Deposit Account No. 07-0868.

6/29/01

date

David C. GoldmanAttorney DAVID C. GOLDMANReg. No. 34,336

Send Correspondence to:
General Electric Company
CRD Patent Docket Rm 4A59
P.O. Box 8, Bldg. K-1 -Salamone
Schenectady, New York 12301

Customer Number: 006147

"Express Mail" mailing label number _____

Date of Deposit _____

Three copies of this form are enclosed

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: BROCK E. OSBORN, ET AL

: Group Art Unit:

Serial No.:

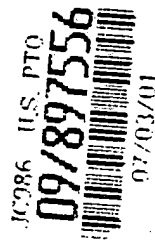
: Examiner:

Filed:

:

For INTERACTIVE GRAPHICS-BASED ANALYSIS
TOOL FOR VISUALIZING RELIABILITY OF A
SYSTEM AND PERFORMING RELIABILITY
ANALYSIS THEREON

:



INFORMATION DISCLOSURE STATEMENT

- ☒ 1 **PTO-1449 Pursuant to 37 CFR 1.97(b)**
[within 3 months of filing or prior to 1st Office Action] N/C
- ☐ 2 **Statement Pursuant to 37 CFR 1.97(c)**
[before Final Office Action or Allowance] N/C
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)**
[before Final Office Action or Allowance] \$240.00
- ☐ 4 **Petition, Statement & Petition Fee Payment Pursuant to 37 CFR 1.97(d)**
[before issue fee payment] \$130.00

Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8 The required Statement made in item 11 below; or
- ☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

☐ 10 37 CFR §1.97(d): [before issue fee payment]; and

a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR §1.17(i) is authorized in item 14 below; and

b) The required Statement is stated in item 11 below.

☐ 11 Statement under 37 CFR 1.97(e)

☐ 12 Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

☐ 13 No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

Respectfully submitted,

David Goldman

June 29, 2001

Date

Attorney - DAVID C. GOLDMAN

Registration No. 34,336

Phone: (518) 387-5927

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Schenectady, New York 12301
Customer Number: 006147

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Date of Deposit

Type or Print Name

Signature

FORM PTO-1449
(REV. 7-80)
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

RD-27,987

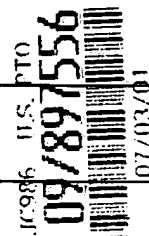
SERIAL NO.

Applicant

BROCK E. OSBORN, ET AL

Filing Date

Group



INFORMATION DISCLOSURE STATEMENT BY APPLICANT--
LIST OF ITEMS

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	No
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

AR	Reliability Block Diagram - RDB [online]. Relex Software Corporation [retrieved on March 9, 2001]. Retrieval from the Internet: <URL: http://www.reliability-block-diagram.com/ >.
AS	Optagon [online]. Advantica [retrieved on March 9, 2001]. Retrieved from the Internet: <URL: http://www.bg-optagon.com/b_optagon.htm >.
AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.